

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450

APPL NO.

FILING OR 371 (c) DATE ART UNIT | FII

FIL FEE REC'D

ATTY.DOCKET NO

DRAWINGS TOT CLMS

IND CLMS

10/670,182

09/24/2003

1625

1488 🗸

20515 US4

61

CONFIRMATION NO. 5495

1 / 1 --

00151 HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT 340 KINGSLAND STREET NUTLEY, NJ 07110 FILING RECEIPT

\*OC000000012118034\*

Date Mailed: 03/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerald Lewis Kaplan, New York, NY;
Achyutharao Sidduri, Livingston, NY;

Jefferson Wright Tilley, North Caldwell, NY;

Domestic Priority data as claimed by applicant

This application is a CON of 10/059,618 01/29/2002 ABN which is a DIV of 09/717,684 11/21/2000 PAT 6,388,084 which claims benefit of 60/245,603 11/03/2000 and claims benefit of 60/169,090 12/06/1999

Foreign Applications

If Required, Foreign Filing License Granted: 03/17/2004 ~

Projected Publication Date: 06/24/2004

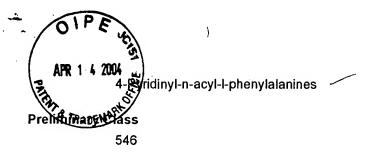
Non-Publication Request: No

Early Publication Request: No

Title

Copy Sent to
Department PLP





# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

G. L. Kaplan, A. Sidduri, J.W. Tilley

Group: 1625

Serial No. 10/670,182, filed September 24, 2003

Examiner:

For: 4-PYRIDINYL-N-ACYL-L-PHENYLALANINES

## REQUEST FOR CORRECTED FILING RECEIPT

Nutley, New Jersey 07110 April 12, 2004

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting the "Applicants" as shown on the enclosed receipt copy.

Applicants have just received the filing receipt in Serial No. 10/670,182. In reviewing the filing receipt, it was noted that the "Applicants" information is incorrect.

Serial No. 10/670,182 Filed: September 24, 2003

The Applicants information is listed as follows:

Gerald Lewis Kaplan, New York, NY; Achyutharao Sidduri, Livingston, NY; Jefferson Wright Tilley, North Caldwell, NY

Please correct to read as follows:

Gerald Lewis Kaplan, New York, NY; Achyutharao Sidduri, Livingston, **NJ**; Jefferson Wright Tilley, North Caldwell, **NJ** 

It is respectfully requested that a new filing receipt be issued correcting the above.

Respectfully submitted,

Attorney for Applicant(s)

Dennis P. Tramaloni (Reg. No. 28,542)

340 Kingsland Street

Nutley, New Jersey 07110 Telephone: (973) 235-4475

Telefax: (973) 235-2363 141195

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/670,182 TRANSMITTAL Filing Date September 24, 2003 **FORM** First Named Inventor Kaplan Art Unit 1625 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 20515 US4 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Request for Corrected Filing Receipt Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Dennis P. Tramaloni Individual name Signature Date April 12, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Dennis P. Tramaloni Date April 12, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature